Subproject C1.4

# **Nanoscale Hollow Spheres**

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# **Nanoscale Hollow Spheres**

#### **Introduction and Summary**

Hollow spheres as an unusual morphological class of materials have meanwhile evoked manifold interest. This addresses the large specific surface, the low specific weight, the potentially high mechanical strength and the use of hollow spheres as a nanocontainer. Initial studies have proven, for instance, SnO<sub>2</sub> or Si hollow spheres to be promising electrode materials in lithium-ion batteries. TiO<sub>2</sub> hollow spheres can serve as very active photocatalysts. Aiming at contrast agents, Mn<sub>3</sub>O<sub>4</sub> hollow spheres have turned out to be beneficial for magnetic resonance imaging. Au, Pt or Pd-filled hollow spheres are suitable as catalysts, and show a certain ability to store hydrogen. And finally, hollow spheres can be employed as contrast agents in molecular biology and medicine, or for inclusion and transportation of inorganic salts, biomolecules or pharmaceuticals. In many cases, however, the examples mentioned above are currently limited to mesoscaled hollow spheres, i. e. with sphere diameters exceeding 100 nm. Nanoscale hollow spheres are most often obtained via hard-template methods. Prerequisites to this measure are practically monodisperse and nonagglomerated nanoparticles such as SiO<sub>2</sub>, Bi, Ag, Au, CdSe or polymer lattices which act as the template. On these templates a shell is precipitated as a second step. Finally, the template has to be dissolved out of the shell without any damaging of the resulting hollow sphere. For a limited class of materials even massive nanoparticles can be directly converted to hollow spheres via Kirkendall ripening. As an alternative approach to hard-templates, microemulsion techniques have been recently established to prepare nanoscale hollow spheres. For this strategy especially the variability regarding the composition of the sphere wall as well as the possibility to encapsulate various compounds inside the hollow sphere cavity turned out to be beneficial.

With this CFN-subproject we could establish an alternative access to nanoscale hollow spheres allowing a wide flexibility regarding composition and size. To this concern, metals (e.g., Au, Ag), oxides (e.g.,  $\gamma$ -AlO(OH), La(OH)<sub>3</sub>, ZnO, SnO<sub>2</sub>, TiO<sub>2</sub>) and sulfides (e.g., CdS, CuS) are realized. The outer diameter of the hollow spheres can be selectively adjusted between 10 and 40 nm and thickness of the sphere wall between 2 and 10 nm. Consequently, the inner cavity ranges from 5 to 30 nm in diameter. Altogether, the flexibility in size and the variaty of available compounds turned out to be special advantages of the underlying microemulsion-based synthesis. In addition to the variety of materials, the properties of nanoscale hollow spheres are discussed. This includes container functionalities and drugg-delivery with inorganic salts (e.g. KSCN, K<sub>2</sub>S<sub>2</sub>O<sub>8</sub>, KF, KCl), biomolecules (e.g. phenylalanine, quercetine, *cis*-PtCl<sub>2</sub>(NH<sub>3</sub>)<sub>2</sub>) and fluorescent dyes (e.g., coumarine, rhodamine). Quantum-size effects are observed in case of metal hollow spheres such as gold and silver. With regard to ceramic oxide hollow spheres such as AlO(OH), SnO<sub>2</sub>, ZnO, TiO<sub>2</sub> sensor applications, gas adsorption and storage and mechanical properties are studied.

Nanoscale hollow spheres as prepared in this CFN-subproject were transferred to several cooperation partners, including drug delivery for medical applications (Dr. K. Dittmar, Helmholtz-Zentrum für Infektionsforschung, Braunschweig), sensor applications (Priv.-Doz. U. Weimar, Universität Tübingen), use in high-power batteries (CFN member H. Hahn), characterization via solid state NMR (CFN member A. Ulrich).

In the timeframe 2008-2010, subproject C1.4 has led to 11 publications, among which are 2 review articles in *Angew. Chem.* and *Mater.*, 1 in *Adv. Mater.*, 2 in *Small*, 1 in *Nanoscale*, and 2 in *Chem. Mater.*.

#### 2. Strategies to prepare nanoscale hollow spheres

Nanomaterials with unusual composition, structure and properties are of general interest to materials science [1–4]. Amongst nanomaterials exhibiting advanced morphology and structure, nanorods (e.g. metal and metal oxide nanorods) and nanotubes (e.g. carbon nanotubes) are part of comprehensive investigations as documented by hundreds of papers published each year [5-7]. In comparison knowledge on nanoscale hollow spheres is right at the beginning. Nevertheless, hollow spheres as an unusual morphological class of materials have evoked manifold interest already. This addresses the large specific surface, the low specific weight, the potentially high mechanical strength and the use of hollow spheres as a container [8]. Initial studies have proven, for instance, SnO<sub>2</sub> or Si hollow spheres as promising electrode materials in lithium-ion batteries [9,10]. TiO<sub>2</sub> hollow spheres may serve as very active photocatalysts [11,12]. Au, Pt or Pd-filled hollow spheres turned out to be suitable as catalysts and exhibit a certain ability to store hydrogen [13–15]. The extraordinary pressure stability was demonstrated with CdS hollow spheres, which - despite a considerable deformation – withstand shear stresses up to 2.2 GPa [16]. And finally, hollow spheres can be employed as contrast agents in medicine and for encapsulation and transport of inorganic salts, biomolecules or pharmaceuticals [17–19]. In many cases, however, the examples mentioned above are currently limited to mesoscaled hollow spheres, i.e. spheres with diameters exceeding 100 nm.

Access to nanoscale hollow spheres is most often performed based on hard-template techniques [8]. As a second experimental approach Kirkendall-type ripening for selected materials allows for hollow sphere formation, too [20–23]. And finally, a microemulsion-based approach recently came into focus as an alternative strategy to realize nanoscale hollow spheres [24]. This microemulsion approach especially intends to get rid of hard templates and to allow for high flexibility in synthesis and materials selection. In general, the approach involves to several beneficial aspects:

- Based on equilibrated, thermally stable micelles, the microemulsion approach can be widely modified by adjusting type and amount of polar/nonpolar phase and surfactants. This allows for fine-tuning the micelle diameter – and as an immediate consequence – controlling the diameter of the resulting hollow spheres.
- 2. The possibility to establish water-in-oil-(w/o)- as well as oil-in-water-(o/w) microemulsions increases the experimental flexibility of the approach even further since polar, water-soluble starting materials as well as non-polar ones can be applied according to the specific needs and restrictions of each synthesis.
- 3. A wide variety of nanoscale hollow spheres is accessible, ranging from elemental metals to metal oxides, metal sulfides or organic-inorganic hybrids.
- 4. While establishing the sphere wall of the hollow shperes, all compounds dissolved inside the micelle are encapsulated. Accordingly, the use of microemulsions gives direct access to container-type functionalities.

Based on the microemulsion approach, various nanoscale hollow spheres are realized, including oxides, sulfides, fluorides or elemental metals. Moreover, the diameter of the hollow spheres can be precisely adjusted based on the relevant experimental conditions. Finally, nanoscale hollow spheres can be used as nanocontainers to encapsulate inorganic salts (e.g. KF, KSCN,  $K_2S_2O_8$ ), biomolecules (e.g. phenylalanine, nicotinic acid, quercetine) or fluorescent dyes (e.g. rhodamine, coumarine, riboflavine). With this first section we describe and compare some general aspects of the three most common routes to nanoscale hollow spheres: hard-template based techniques (cf. 2.1), Kirkendall ripening (cf. 2.1) and microemulsion approach. We will have a closer look at microemulsion techniques that belong to the standard tools to prepare various kinds of massive nanoparticles (cf. 2.2), and that – prerequisite to an essential modification – are quite advantageous with regard to hollow sphere formation, too (cf. 2.3). To round up the potential of microemulsions,

its flexibility to obtain different materials as hollow spheres (cf. 2.4) as well as recent advances to control and fine-tune diameter and cavity size are discussed (cf. 2.5).

# 2.1. Techniques based on hard templates

Nanoscale hollow spheres are most often obtained via hard-template methods [8]. Therefore, we discuss some general aspects of this technique first. Accordingly, monodisperse and non-agglomerated nanoparticles – acting as the hard template – are coated with a shell (Figure 1a). Dissolving the template out of the shell as a second step leads to the final hollow sphere. A variety of materials has been used as the hard template, including for instance,  $Al_2O_3$ ,  $SiO_2$ ,  $SnO_2$ ,  $TiO_2$ ,  $LaF_3$ ,  $Co_9S_8$ ,  $Cu_2S$ , CuS, CdSe, Ag, Au, Pd or polymer lattices [8,25–33]. Removal of these templates is performed, for instance in the case of  $SiO_2$  by dilute hydrofluoric acid. Metal nanoparticles as a template can be removed by oxidizing acids. Polymer lattices are burned out at elevated temperature or dissolved by nonpolar solvents. In particular elegant is the reductive deposition of a more noble metal (e.g. Au) as the shell while dissolving a less noble template (e.g. Pb nanoparticles) simultaneously [34]. In addition to hard-template techniques, in some cases even massive nanoparticles can be directly converted into nanoscale hollow spheres via so-called Kirkendall ripening (Figure 1b) [8,20–23]. A typical example is related to the conversion of massive hydroxide nanoparticles to oxide hollow spheres.



**Figure 1.** Scheme exploiting the synthesis of hollow spheres via hard-template techniques (a) and Kirkendall ripening (b).

Although hard-template techniques are widely applied, the availability of monodisperse and nonagglomerated nanoparticles as precursors can be considered as a severe restriction. Moreover, dissolving the template without destructing the newly prepared shell can be complicated. With regard to container-type functionalities hard templates actually turn out to be disadvantageous since the transported agent would have to be introduced inside to the hollow sphere subsequent to removing the template.

# 2.2. Microemulsion techniques: some general considerations

Microemulsion techniques belong to the most common methods to prepare high-quality nanomaterials. This is indicated by hundreds of papers appearing each year and includes numerous nanomaterials, ranging from elemental metals, metal oxides, metal sulfides to organic-inorganic hybrid materials [35–37]. Since the underlying equilibrated and thermodynamically stable water-in-oil-(w/o)- or oil-in-water-(o/w)-micelles exhibit dimensions on the nanoscale (typically 2 to 50 nm in diameter), any particle nucleation inside these surfactant-stabilized droplets – which can be adopted as a nanoreactor – can easily be restricted to the nanoscale, too (Figure 2). Moreover, the resulting nanoparticles are almost perfectly stabilized with concern to uncontrolled growth and

agglomeration. This is due to the surfactants that establish the micellar system and as well stabilize the nanoparticles. As a consequence, the overall quality of the resulting nanoparticles in terms of uniformity is normally very high. Much of the adaptability of microemulsion techniques arises from the large number of (commercially) available surfactants. This includes cationic, anionic, non-ionic as well as amphiphilic surfactants. In concrete, cetyltrimethylammonium bromide (CTAB), sodium dodecyl sulfate (SDS), sodium 1,4-bis(2-ethylhexyl)sulfosuccinate (AOT) or polyethylenglycol ethers represent some of the most widely applied surfactants [38]. Quite often co-surfactants are added, typically to reduce the repulsion of similarly charged ionic surfactants. Based on the type and concentration of these surfactants, a precise adjustment and fine-tuning of the micelle diameter is possible. Taking a w/o-microemulsion as an example, the micelle diameter basically depends to the surfactant-to-water ratio as given by  $\omega = [H_2O]/[S]$  (S: surfactant). Since nanoparticles that were prepared in a micelle as a "nanoreactor" often exhibit diameters that directly correlate to the size of the initial micelle, microemulsions in principle allow for size control of nanoparticles "in advance" to preparing them.



**Figure 2.** Synthesis of massive nanoparticles via microemulsion techniques with, e.g.: a) mixing and coalescence of two different w/o-micellar systems, each of them containing a starting material A and B; b) thermal initiation of particle nucleation in a single w/o-micellar system containing two reactants A and B.

Microemulsion synthesis is typically, performed by mixing and coalescence of two separate micellar systems – each of them containing at least one reactant (Figure 2a) [35-37]. When mixing both microemulsions, coalescence of the micelles occurs and initiates the reaction of the starting materials inside the nanosized micellar droplets. Less often all starting materials are incorporated in just one micellar system. In such a case the reaction is initiated by an external trigger, for instance, by increasing the temperature (Figure 2b). A characteristic trait of typical microemulsion techniques is that all the reactants are located in the same phase, i.e. the polar or non-polar phase inside of one or (most often) two different micellar systems. Reactant addition to the dispersant phase as well as to the micelles is rare – but will be an important aspects regarding hollow sphere formation (cf. 2.3).

In sum, the importance and wide application of microemulsion techniques for preparing nanomaterials is very obvious. However, the far majority of these well-established synthesis aims at massive nanoparticles [35–37]. Microemulsions have been actually already evaluated with regard to their potential to prepare hollow spheres, too. However, the resulting hollow spheres were surprisingly large in diameter (d  $\geq$ 100 mn). This holds especially when considering the diameter of the initial micelles (d <30 nm) [39,40]. In other cases the microemulsion approach only resulted in agglomerated nanoparticles or was used to prepare porous solid matrices [41]. Finally, emulsion and miniemulsion systems have been used quite often [42–44]. However, the latter systems are non-stable by thermodynamics and contain micronsized to even larger droplets. As a consequence,

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hollow spheres with large diameters (i.e. >500 nm), broad size distribution and significant agglomeration were obtained.

## 2.3. Nanoscale hollow spheres via the microemulsion approach

Nanoscale hollow spheres, in principle, can be gained from microemulsions by a very similar experimental approach as described above for massive nanoparticles (cf. 2.2). In fact there is only one essential difference: the addition of the starting materials. Thus, one of the starting materials was here located inside the micelle while the second was added to the dispersant phase (Figure 3). Taking a *w/o*-microemulsion as an example, the reaction now proceeds at the liquid-to-liquid phase boundary of the micellar system. This strategy will lead to hollow spheres exhibiting dimensions of the underlying micelle (i.e., with outer diameters far below 100 nm) if indeed restricted to the phase boundary.



**Figure 3.** Synthesis of nanoscale hollow spheres via the microemulsion approach at the liquid-to-liquid phase boundary of a w/o-microemulsion due to a first reactant (A) inside the polar micellar phase and a second reactant (B) added to the non-polar dispersant phase.

For the synthesis of nanocale hollow spheres – as presented in the following – reversed w/o-microemulsions are used most often that consist of cetyltrimethylammonium bromide (CTAB) as the surfactant and *n*-hexanol as the co-surfactant. Water or 1:1 mixtures of water and methanol is used as the polar dispersant phase; *n*-hexane, *n*-dodecane or toluene establish the non-polar oil-phase. As a general procedure, a first reactant is dissolved in the water-containing micelle. The formation of an equilibrated and stable w/o-microemulsion is indicated by its optical transmittance. Then, the second reactant is added slowly to the non-polar dispersant phase (Figure 3).

To initiate a diffusion-controlled reaction and to strictly limit the formation of a solid to the phase boundary, appropriate experimental conditions are prerequisite. This includes a suited type and concentration of the starting materials, a sufficient solubility/non-solubility of the relevant starting materials in the water-/oil-phase as well as a sufficient reaction rate. In concrete, metal alkoxides, metal triphenylphosphins or metal cyclopentadienids are well suited to be added to the oil-phase; simple metal salts such as halogenides can be used inside the polar phase (Table 1). To control diffusion and reaction rate, the reaction at the phase boundary is most often started – subsequent to the addition of the second precursor – near or slightly below room temperature (0–20 °C) and over a long period of time (2–20 h). Subsequent to the initial formation of a first, very thin solid sphere wall, the reaction rate is speed up by slowly increasing the temperature (from room temperature to 60-80 °C).

<b>Table 1:</b> Suited starting materials for hollow sphere synthesis.				
Type of	Starting material for	Starting material for		
hollow sphere	non-polar oil-phase	polar water-phase		
Au	$C_{12}H_{25}SH$	KAuCl <sub>4</sub>		
Ag	$[Ag(PPh_3)]_4NO_3$	NaBH <sub>4</sub>		
ZnO	$Zn(Cp^*)_2$	H <sub>2</sub> O		
TiO <sub>2</sub>	TiCl <sub>4</sub>	H <sub>2</sub> O		
$SnO_2$	$Sn(i-OC_3H_7)_4$	H <sub>2</sub> O		
AlO(OH)	$Al(t-OC_4H_9)_3$	H <sub>2</sub> O		
La(OH) <sub>3</sub>	La(Cp) <sub>3</sub>	0.1 M KF		
Cu <sub>2</sub> S	$[Cu(PPh_3)_2]Cl$	$(NH_2)_2CS$		
CuS	$Cu(C_9H_{17}COO)_2$	$(NH_2)_2CS$		



**Figure 4.** Overview of nanoscale hollow spheres with various compositions gained via the microemulsion approach (modified reproduction from [24]).

To separate the nanoparticles from the micellar system, most often destabilization upon addition of a polar, aprotic solvent (e.g. acetone) is applied [35,36,45–50]. With this measure a certain agglomeration of the destabilized particles always occurs, and is denoted to be a certain drawback of microemulsion techniques. In contrast, the reaction can be also terminated by addition of diethylene glycol (DEG) to the micellar system [51]. This resulted in an immediate phase separation with a top phase that mainly consists of the non-polar dispersant phase and the surfactants, and a DEG bottom phase containing the far most of the hollow spheres. Moreover, DEG is well-known for its efficient stabilization of nanoparticles – an effect that is used here, too [52,53]. Subsequent to centrifugation from DEG, the solid product often can be easily redispersed in DEG, ethanol or water.

Figure 4 gives a first impression of compounds, structures and sizes of nanoscale hollow spheres as obtained via the microemulsion approach. Electron microscopy elucidates morphology and size of these materials (cf. 3.1). According to scanning electron microscopy (SEM) non-agglomerated particles, exhibiting mean diameters of  $25\pm3$  nm (Au),  $25\pm6$  nm (CuS),  $30\pm5$  nm ( $\gamma$ -AlO(OH)) and  $20\pm3$  nm (SnO<sub>2</sub>) are obtained. These values are deduced based on a statistical evaluation of at least 100 particles. The presence of hollow spheres that indeed contain an inner cavity is evidenced by high-resolution transmission electron microscopy (HRTEM). To this end, spherical to slightly ellipsoidal particles with outer diameters of about 21–23 nm (Au), 23–32 nm (CuS), 21–25 nm ( $\gamma$ -AlO(OH)), 15–20 nm (SnO<sub>2</sub>), and wall thicknesses of 2–3 nm (Au), 5–11 nm (CuS), 5–7 nm ( $\gamma$ -AlO(OH)) and 3–5 nm (SnO<sub>2</sub>) are prepared.

# 2.4. w/o- and o/w-Microemulsions for hollow sphere generation

Additional flexibility of the microemulsion approach with concern to choosing suited starting materials and with regard to the type and composition of the resulting hollow spheres arises from the fact that w/o- as well as o/w-microemulsions can be used for the synthesis as well [54,55]. This is exploited here for two different types of hollow spheres: AlO(OH) and Au (Figure 5).



**Figure 5.** Scheme of hollow sphere formation applying *w/o-* and *o/w-* microemulsions with AlO(OH) and Au as concrete examples.

In the case of AlO(OH), the synthesis was performed in a w/o-microemulsion [55]. In concrete, the micellar system was established by CTAB as the surfactant, *I*-hexanol as the co-surfactant, *n*-dodecane as the non-polar dispersant phase and a 1:1 mixture of water and methanol as the polar phase inside the micelles. Hollow sphere formation was initiated upon addition of Al(*sec*-OC<sub>4</sub>H<sub>9</sub>)<sub>3</sub> to the equilibrated, transparent w/o-microemulsion (Figure 5). Subsequent to admixing the alkoxide, the micellar system was left to react for 12 h at room temperature. Hence, a simple hydrolysis of the alkoxide occured at the liquid-to-liquid phase boundary. Such a reaction, in principle, could be performed with a lot of metal alkoxides. In detail the following aspects have to be considered to select suited alkoxides: first, a slow diffusion-controlled hydrolysis is preferred, and second the

solubility of the alkoxide has to be much higher in the non-polar than in the polar phase. Subsequent to the reaction, the hollow spheres were separated as discribed in section 2.3. Detailed characterization of as-prepared AlO(OH) hollow spheres as well as its container-type functionalities will be discussed in the following sections (cf. 3.1, 3.2 and 4.1–4.3).

Au hollow spheres – our second example – were prepared in a o/w-microemulsion [54]. Here, the micellar system was established by sodium dodecylsulfate (SDS) as the surfactant, *n*-dodecylthiol as the co-surfactant, demineralized water as the polar dispersant and *n*-dodecane as the non-polar phase inside the micelles (Figure 5). The micellar system was cooled to 10 °C and left for one day to equilibrate. Thereafter, the reaction was started upon addition of NaAuCl<sub>4</sub> · 4H<sub>2</sub>O to the dispersant phase. The yellow colored [AuCl<sub>4</sub>]<sup>-</sup> containing solution was added slowly and dropwise on a time scale of 10 hours and without stirring. Thereafter the system was again left two days for reaction at 10 °C. Herein, *n*-dodecylthiol takes two functions: on the one hand, it represents the co-surfactant, and on the other hand it acts as a reducing agent. The latter induces the formation of elemental gold at the phase boundary of the micellar system. For detailed characterization of the resulting Au hollow spheres see section 3.1 and 3.2.

#### 2.5. Control of outer diameter and cavity size

As discussed in section 2.2, microemulsions, allow for excellent size control of massive nanoparticles, in general. Such size control is most straightforward by adjusting the water-to-surfactant ratio  $\omega = [H_2O]/[S]$  (S: surfactant) and thereby controlling the micelle diameter. This well-known size control of micelles, in principle, should also allow for controlling the outer diameter and cavity size of nanoscale hollow spheres. This strategy was first evaluated based on La(OH)<sub>3</sub> hollow spheres [56]. Thus, three different micellar systems have been selected with the following composition of the polar phase: A) 1 ml of 0.2 M KF; B) 1 ml of a 0.1 M KF; C) 2 ml of 0.1 M KF. The diameter of the underlying micelles was deduced by dynamic light scattering (DLS) (Figure 6). Subsequent to the addition of lanthanocene as the starting material to the micellar system as well as to hollow sphere formation, centrifugation and purification, the resulting solid was redispersed in diethylene glycol. DLS analysis of the redispersed particles indeed shows an increased mean hydrodynamic diameter with increasing diameter of the initial micelle. Namely this is: 9±4 nm (A), 22±6 nm (B) and 28±9 nm (C) (Figure 6). Obviously, fine-tuning – especially of the very small hollow spheres (<50 nm) – is indeed possible within the range of stable micelles.



**Figure 6.** Mean diameter of micelles containing 0.1 M KF-solution in *w/o*microemulsions consisting of CTAB, *I*-hexanol and *n*-dodecane (left) and size distribution of La(OH)<sub>3</sub> hollow spheres prepared via microemulsionbased synthesis with different volumes and concentration of aqueous KF solution as the polar phase: A) 1 ml of 0.2 M KF; B) 1 ml of 0.1 M KF; C) 2 ml of 0.1 M KF (modified reproduction from [56]).





Electron microscopy confirms the diameters stemming from DLS and evidences the presence of hollow spheres (Figure 7). According to STEM and HRTEM images, the hollow spheres exhibit outer diameters of A)  $11\pm3$  nm, B)  $20\pm5$  nm, C)  $30\pm5$  nm and cavity sizes of A)  $2\pm1$  nm, B)  $7\pm5$  nm, C)  $17\pm5$  nm. The thickness of the sphere wall with 4–6 nm in all the cases remains almost similar. While considering the diameter of the non-reacted micelles, its correlation to the cavity size of the hollow spheres becomes obvious. Taking into account that a proceeding growth of the sphere wall in thickness requires a diffusion of the reactants – namely La(Cp)<sub>3</sub> or H<sub>2</sub>O/OH<sup>-</sup> – through the sphere wall, one can rationalize that this diffusion becomes very slow if the sphere wall reaches a certain size. Consequently, the wall thickness should be "quasi" self-terminating, which is in accordance to the observation.

To prepare La(OH)<sub>3</sub> hollow spheres, aqueous KF was used as the polar phase instead of pure water. This originates from the observation that otherwise massive La(OH)<sub>3</sub> nanoparticles were obtained only [56]. Comparing hollow and massive nanoparticles, it is quite obvious that the latticebinding energy favors massive particles instead of the large inner and outer surface of the hollow spheres. In order to nevertheless obtain hollow spheres the ionic strength inside the micelles was increased. This effect is well-known as the "*salting-out effect*" [57]. Thus, addition of KX (X = F, Cl) reduces the solubility of La(OH)<sub>3</sub> and therefore allows for hollow sphere formation.

#### 3. Morphological characterization

Electron microscopy in all its variations obviously represents the most important tool to prove for the formation of nanoscale hollow spheres. However, additional methods can significantly contribute to round up the characterization and understanding regarding structure and properties. Out of a wide bunch of analytical tools we especially address those to investigate size and size distribution (cf. 3.1) as well as crystallinity and chemical composition of hollow spheres (cf. 3.2). Moreover, the thermal stability and fragility under bombardment with high-energy electrons is discussed (cf. 3.3).

#### 3.1. Size and size distribution

Electron microscopy is certainly the most powerful tool to analyze size and size distribution of nanoscale hollow spheres as well as to proof the presence of an inner cavity. In detail, scanning electron microscopy (SEM), transmission electron microscopy (TEM), high-resolution transmission electron microscopy (STEM) with both, the bright-field (BF) as well as the high-angle anular dark-field (HAADF) mode, and finally selective-area electron diffraction (SAED) are typically applied [58]. Due to the structural instability of the hollow spheres under the conditions of vacuum and high-energy electron bombardment, however, these investigations are definitely more than routine (cf. 3.3).

Typical SEM and TEM images of different nanoscale hollow spheres have been already shown in Figure 4. Hereof, SEM images elucidate the presence of non-agglomerated particles with a narrow size distribution. Based on a statistical evaluation of at least 100 particles, a mean particle diameter can be deduced. Accordingly, mean outer diameters ranging from 10 to 60 nm can be obtained for all as-prepared hollow spheres (Table 2). The microemulsion approach in fact allows controlling and fine-tuning of the mean outer sphere diameter by adjusting the size of the initial micelles (cf. 2.5). When aiming at hollow spheres with outer diameters below 10 nm, a formation of massive nanoparticles is typically observed. Outer diameters exceeding 50 nm most often lead to very fragile spheres, so that only destructed fragments of hollow spheres are obtained.

The presence of hollow spheres is evidenced by HRTEM images (cf. Figure 4). Typically the mean inner cavity ranges from 2 to 30 nm (Table 2). Its diameter can be fine-tuned by adjusting the diameter of the initial micelle, too (cf. 2.5). The thickness of the sphere wall remains at a constant value of 2–15 nm (Table 3), which is in accordance with a diffusion-based formation as proposed in section 2.5. According to HRTEM, the sphere wall normally turned out to be highly crystalline. With a synthesis performed at ambient temperature, this finding is quite surprising, expecially in case of oxides which are normally known to crystallize at elevated temperatures (>200 °C) [59]. The observed highly ordered lattice fringes allow to determine the chemical composition of each single hollow sphere (Table 3).

Type of hollow sphere	Mean outer diameter deduced from:		Mean diameter of inner cavity deduced from:
	DLS	SEM/TEM	STEM/HRTEM
Au	27±4	25±3	~20
Ag	18±3	18±3	~13
ZnO	22±4	20±4	~15
TiO <sub>2</sub>	51±6	48±5	~30
$SnO_2$	22±3	20±3	~18
AlO(OH)	33±5	30±5	~22
La(OH) <sub>3</sub>	9±4	11±3	~ 2
	22±6	20±5	~ 7
	28±9	30±5	~17
Cu <sub>2</sub> S	41±6	35±5	~20
CuS	25±4	25±6	~27

**Table 2:** Outer diameter and cavity size of various hollow spheres as made via the microemulsion approach. All values given in /nm.

**Table 3:** Wall thickness and chemical composition as deduced from the observed lattice fringes of various hollow spheres as made via the microemulsion approach.

Type of hollow sphere	Wall thickness deduced from: HRTEM [nm]	Observed lattice fringes along the sphere wall as given by HRTEM [Å]	
Au	2-3	2.0 (200); reference with 2.04	
Ag	3-5	2.4 (111); reference with 2.36	
ZnO	4-6	n.d.	
TiO <sub>2</sub> (anatase)	10-15	3.4 (101); reference with 3.52	
$SnO_2$	3-5	3.3 (110); reference with 3.35	
AlO(OH) (boehmite)	5-7	3.2 (120); reference with 3.17	
La(OH) <sub>3</sub>	4-6	2.8 (200); reference with 2.83	
		3.2 (101); reference with 3.19	
Cu <sub>2</sub> S (chalcocite)	4-8	3.3 (341); reference with 3.37	
		3.1 (245); reference with 3.18	
CuS (covellite)	5-11	2.0 (110); reference with 1.90	
(bulk references obtained from literature data, [60])			

(n.d.: no data available yet)

Since all nanoscale hollow spheres were prepared in the liquid-phase, dynamic light scattering (DLS) is an additional beneficial tool to determine size and size distribution as instantaneously obtained after the synthesis (Figure 8). Typically DLS is applied after destabilization of the micellar system, removal of all surfactants and redispersion of the resulting hollow spheres in diethylene glycol or ethanol (cf. 2.3). By comparing the size distribution and mean diameter stemming from SEM and DLS, the primary particle size as well as the degree of agglomeration can be reliably verified. In sum, the interplay of analytical tools that allow for statistical evaluation of a multitude of particles (e.g., DLS, SEM, XRD) and those that allow for a detailed investigation of (some) single particles (e.g., TEM, HRTEM, STEM) result in a powerful and reliable characterization of

as-prepared hollow spheres. Such characterization is here shown for AlO(OH) and Ag hollow spheres as representative examples (Figure 8).



**Figure 8.** DLS and SEM/STEM overview images showing size and size distribution of as-prepared AlO(OH) and Ag hollow spheres (modified reproduction from [55,61]).

# 3.2. Crystallinity and chemical composition

Particle size, particle size distribution and morphology of the hollow spheres are typically validated by electron microscopy (cf. 3.1). Despite of its importance, electron microscopy nevertheless exhibits an inherent restriction. Thus, only a comparably limited number of particles is analyzed and pictured. Consequently, the obtained results are somewhat limited by statistics in view of the molar quantities of nanoparticles that are present, for instance, in a powder sample. To this concern, dynamic light scattering is useful to verify size and size distribution of as-prepared hollow spheres in suspension (cf. 3.1). Considering the specific surface, e.g. by the Brunauer-Emmett-Teller (BET) approach, can be an other possibility to verify particle size and prescence of an inner cavity as well [24,53,65]. Aiming at a statistical verification of chemical composition, crystallinity and particle size of as-prepared hollow spheres, furthermore, X-ray powder diffraction pattern, including line-profile analysis can be highly beneficial. This is again illustrated by AlO(OH) and Ag hollow spheres as representative examples (Figure 9).



**Figure 9.** XRD and HRTEM of AlO(OH) and Ag hollow spheres showing crystallinity and composition of a manifold of particles as well as of selected single hollow spheres (modified reproduction from [55,61]).

HRTEM images already pointed to the presence of  $\gamma$ -AlO(OH) and a highly crystalline sphere wall (cf. Table 3). In addition to this analysis of single particles, chemical composition and crystallinity of AlO(OH) hollow spheres are further confirmed by selective area electron diffraction (SAED) [55] as well as by X-ray diffraction pattern. Both measures address the manifold of particles of a powder sample (Figure 9). With regard to AlO(OH), XRD powder diffraction pattern are in accordance to reference data [55,60], however, it has to be considered that the sphere wall exhibits only a weak scattering power. All Bragg peaks observed are therefore broad and with low intensity. Moreover, the crystalline sphere wall does definitely not match with the conditions of an isotropic single crystal. Thus, the intensity of reflections can be different from the bulk-phase. With regard to the AlO(OH) hollow spheres, it is most remarkable that the (020) Bragg peak is not observed (Figure 9). This finding can be explained by two arguments. On the one hand, the [020] lattice plane is tilted out of the plane of the sphere wall (which crystallizes with [120], cf. 3.1). Consequently, the scattering power of this lattice plane is significantly weakened. Moreover, for high-pressure modifications of boehmite the intensity of (020) is known to be almost zero. In the case of the hollow spheres, the curvature of the crystalline wall might lead to a certain "internal pressure" as it was observed already for carbon shells as well as for SnO<sub>2</sub> hollow spheres [62,63,65].

Silver hollow spheres – as our second example – according to SEM and TEM images exhibit outer particle diameters of 15–20 nm and a sphere wall, 3–5 nm in thickness (cf. 3.1, Table 2). HRTEM images show as-prepared hollow spheres to be crystalline and with highly ordered lattice fringes. The observed d-values with 2.4 Å match well with the (111) lattice plane of elemental silver (cf. 3.1, Table 3). X-ray powder diffraction (XRD) pattern confirm the chemical composition and crystallinity – obtained for single particles via electron microscopy – also for a manifold of particles of a powder sample (Figure 9). Moreover, the lattice parameter of  $a = 409.0\pm3$  pm was determined from XRD peak positions, and is in good agreement with a = 408.5 pm of face-centered cubic bulk-silver [60]. Again, the reflections of the hollow spheres are significantly broadened. This points to small crystallite sizes and is well in agreement with the shell-like structure. Line shapes of all Bragg peaks were here derived via Voigt-functions and used to determine the microcrystalline properties [64]. Thus, an average crystallite size of 9 nm along (111) and (110), and of 6 nm along (001) was calculated for the hollow spheres from the single-line integral width. These data are well in agreement to DLS, STEM and HRTEM (cf. Figures 8 and 9).

Altogether, a reliable characterization of as-prepared nanoscale hollow spheres, including outer diameter, cavity size and wall thickness, chemical composition and crystallinity requires different and independent analytical tools such as DLS, SEM, STEM, TEM, HRTEM and XRD. Single particles have to be analyzed with high resolution on the one hand. On the other hand, statistical information addressing the huge manifold of particles has to be gained. Besides the analytical methods described here, additional information can be obtained from infrared spectroscopy (FT-IR), nuclear magnetic resonance (NMR) spectroscopy, fluorescence spectroscopy (FL), thermogravimetry (TG), small-angle X-ray scattering (SAXS), X-ray absorption spectroscopy (XAS, XANES, EXAFS), Mößbauer spectroscopy and many other methods that have been used and described elsewhere [24,54–61,65,71].

# 3.3. Stability at elevated temperatures and under electron bombardment

All nanoscale hollow spheres turn out to be highly fragile and very sensitive to electron bombardment with effects ranging from a partial collapse of the sphere structure to a complete vanishing of the hollow spheres. To this concern, as-prepared hollow spheres are first of all filled with water stemming from the microemulsion approach (cf. 2.3). The consequence of this water filling can be illustrated, for instance, with  $SnO_2$  hollow spheres exhibiting an outer diameter of 15-25 nm and an inner cavity of 10-20 nm in diameter [65]. If these as-prepared SnO<sub>2</sub> hollow spheres were just centrifuged from suspension and left to dry in air at room temperature, thermogravimetry of the resulting dry powders indicates a weight-loss of as much as 18 % up to 400 °C due to slow release of water encapsulated inside the inner cavity. If such water-loaded nondried hollow spheres were transfered to vacuum (e.g. into an electron microscope), rapid evaporation of water would have caused a complete destruction of the hollow spheres. Therefore, careful and slow drying is required with precise adjustment of temperature (e.g. by increasing from room temperature to 60 °C within 12 h) and pressure (e.g. by decreasing from ambient pressure to  $10^2$  Pa within 24 h). If such a drying procedure was applied with the above SnO<sub>2</sub> hollow spheres, thermogravimetry thereafter shows a weight-loss of <5 % only up to 400 °C, and the hollow sphere morphology remaining intact [24,65].

In addition to the above considerations regarding careful drying, even the dried hollow spheres turned out to be highly sensitive to the electron beam. Consider that the current density is increased at high magnification (200–300 kV) to typical values of up to 100 A cm<sup>-2</sup> [66]. The incoming energy due to electron bombardment and charge transport leads to local heating as well as to significant charging of the samples. As a consequence, collapse and complete destruction is often observed on a time scale of some seconds. This situation is best visualized in case of hollow spheres with comparably large diameters. In opposite to the above mentioned example, SnO<sub>2</sub> is here used with outer diameters of 40–60 nm (Figure 10) [24]. Intact spheres are indicated by comparably high charging effects as well as by a comparably high contrast. Collapsed spheres behave like empty ballons. The remaining flat structures show significantly lower charging – and due to the decreased number of backscattered electrons – a low contrast. Partly, perforation of the sphere wall is visible, too.



**Figure 10.** SEM images of partly destructed  $SnO_2$  hollow spheres with a scheme exploiting the different contrast and charging of destructed and intact hollow spheres (reproduction from [24]).

Hollow spheres exceeding diameters of 40–50 nm – prerequisite to careful drying and removal of all water stemming from the microemulsion – are of reasonable stability under TEM conditions. However, the smaller the diameter of the hollow spheres was the more complicated is the TEM analysis at high-resolution. In some cases destruction of the hollow spheres can be followed by electron microscopy. This most impressively illustrated with differently sized gold hollow spheres, namely, mean outer diameters of 15–20 nm and 40–60 nm. The thickness of the wall in both cases is almost similar (2–5 nm) [54]. Here, two paths of destruction seem to occur: a first destruction process due to complete removal of gold in the case of the smaller hollow spheres (Figure 11a–c), and a partial collapse of the sphere wall in the case of the larger ones (Figure 11d–f). Both these effects occur on a time scale of some seconds.

Especially in the case of gold, it is well-known that even massive gold particles are quite instable under illumination by a high-energy electron beam. The underlying phenomena such as particle movement, structural change and deformation have been studied in detail for two decades [67]. Massive structural rearrangements are facilitated by a "gas" of highly mobile atoms which was observed directly by HRTEM on the surface of Au nanoparticles [68]. The change of cluster shapes can be explained by the concept of "quasi-melting" [69]. The "quasi-molten" state is observable over time scales which are well accessible in a transmission electron microscope if dissipation of the excess energy is impeded for small contact areas of the particle to the substrate. Williams has suggested that local heating can be understood by the production of Auger electrons [67]. The (partial) dissipation of the energy of Auger electrons in the particles may lead a local temperature increase of up to 2100 °C whereby the melting point of gold (m.p.: 1064 °C) is largely exceeded. The dissipation of the excess energy was suggested to take place on a time scale of  $10^{-12}$  s, which prevents massive evaporation, but it may be the origin of the gas of highly mobile Au atoms on the cluster surfaces. In the case of the hollow spheres, similar processes can be assumed to take place. The effect of dissolution was observed already in case of small solid gold clusters, and has been ascribed to Ostwald ripening of an ensemble of particles with a finite size distribution [70].



La(OH)3: Bubble formation



In sum destruction of nanoscale gold hollow spheres under bombardment with high-energy electrons is accompanied by two processes: (1) The presence of a gas of highly mobile Au atoms on the sphere surface facilitates a complete vanishing of small hollow spheres (Figure 11a–c). Deconstruction of these hollow spheres then results in a temporal recondensation of small diffusing Au species and finally yields very small massive nanoparticles, about 1–5 nm in diameter. (2) Deposition of energy by high-energy electrons and its dissipation will affect larger hollow spheres in a different way. Here, mobile Au atoms on the surface reduce the effective solid thickness of the sphere wall, which contributes to the destabilization of the structure. High-temperature excursions by the excitation of Auger electrons generate phonons, which subsequently lead to a collapse of the hollow spheres (Figure 11d–f). This structural collapse results in a formation of thin gold platelets and platelets are crystalline, but often exhibit different lattice planes (i.e. (200) with d = 2.0 Å) as compared to the initial hollow spheres (i.e. (111) with d = 2.4 Å) [54].

Although the melting point of metal oxides is much higher as compared to metals, oxide hollow spheres turned out to be highly sensitive to electron-beam induced damaging, too. In the case of La(OH)<sub>3</sub>, for instance, series of TEM images of selected hollow spheres at first show a slight growing in diameter (Figure 11g–i) [56]. Next, a kind of a bubble formation is observed that is followed by complete destruction of the sphere wall. All that happens again on a time scale of some

deciseconds to seconds. Considering a local heating of the spheres due to the electron beam, this observation can be attributed to a beginning dehydration of the La(OH)<sub>3</sub> sphere wall, which is accompanied by a certain internal water vapor pressure. The latter causes the bubble-type protuberance, and finally the destruction of the hollow sphere. Thermogravimetry confirms this view since thermal decomposition of La(OH)<sub>3</sub> under evaporation of water occurs with a massive and continuous weight-loss from 200 °C up to 600 °C. If dehydration at ambient pressure starts at 200 °C, a dehydration occuring under TEM and full-vacuum conditions is not a surprise.

To overcome the inherent instability of as-prepared nanoscale hollow spheres, scanning transmission electron microscopy (STEM) can be a useful option. Here, the acceleration of the electron beam can be reduced to about 20–30 kV as compared to 200–300 kV for standard TEM analysis. By this technique the presence of the inner cavity can be reliably proven on the one hand. On the other hand, a statistically relevant number of particles is pictured – ranging between SEM images displaying several tens to hundreds of particles and TEM images with only a few particles visible on one image. As an example, STEM images of CuS and TiO<sub>2</sub> hollow spheres are shown here (Figure 12). To differentiate between sphere wall and inner cavity at lower acceleration voltages, monoparticulate layers are prerequisite. This needs careful preparation of suited specimens for STEM analysis. Aiming at chemical analysis and crystal structure of the as-prepared hollow spheres, however, HRTEM is indispensable (cf. 3.1, 3.2).



**Figure 12.** Scanning transmission electron microscopy (STEM) of CuS and TiO<sub>2</sub> hollow spheres [71].

#### 4. Container-type functionalities

The adaptability of the microemulsion technique and its flexibility regarding the composition of the sphere wall can be considered as a special strength of the experimental approach. However, it turned out to be even more flexible when aiming at container-type functionalities of nanoscale hollow spheres. In principle all kinds of *"transport agents"* – with hydrophilic and hydrophobic features – can be dissolved in *w/o-* or *o/w-*microemulsions prior to establishing the sphere wall. In contrast to the most common concepts [25–33], moreover, no template has to be removed. Aiming at drug delivery, on the other hand, large-volume hollow spheres (e.g. polyelectrolyte capsules) with diameters of 500 nm up to several microns are well-known. These materials, however, follow separate concepts of synthesis and exhibit different material properties (e.g., regarding size and volume, elasticity and fragility, osmotic pressures and capillary forces) [72–74].

In sum, the microemulsion approach may offer a very flexible access to uniform nanocontainers as well as to a facile filling (Figure 13). In the following, encapsulation and release of inorganic salts (cf. 4.1), biomolecules and bio-active molecules (cf. 4.2) as well as of fluorescent dyes (cf.

4.3) is summarized. Note that we indicate all filled hollow spheres as filling@sphere, following the notation of endohedral fullerenes [75].



**Figure 13.** Scheme showing the encapsulation of compounds (X) prior to hollow sphere formation via reaction of the starting materials (A and B) at the liquid-to-liquid phase boundary of *w/o*-micelles.

## 4.1. Encapsulation of inorganic salts

The container-type functionality of nanoscale hollow spheres is first validated based on the encapsulation of typical inorganic salts, namely KSCN,  $K_2S_2O_8$  and KF. These compounds have been selected since their presence can easily be detected by qualitative and quantitative means. With regard to synthesis, all inorganic compounds have been first dissolved inside the aqueous micelle prior to establishing and closing the sphere wall (cf. 2.4). Their presence – subsequent to hollow sphere synthesis and certain washing processes – is than investigated spectroscopically as well as by chemical analysis. Figure 14 shows FT-IR spectra of nonfilled AlO(OH) hollow spheres, KSCN-filled spheres (KSCN@AlO(OH)) and pure KSCN as a reference [24]. Note that the absolute concentration of KSCN inside the sphere is comparably low (1 mg of KSCN@AlO(OH) and 400 mg of KBr per pellet used for FT-IR). As a consequence, the relevant vibrational bands are low in intensity, too. By first sight, the spectra of KSCN@AlO(OH) are very comparable to those of the nonfilled spheres (v(O-H): 3800–3000 cm<sup>-1</sup>,  $\delta(H_2O)$ : 1650–1550 cm<sup>-1</sup>). A closer look, however, clearly indicates the most intense vibration of KSCN ( $v_{as}(SCN)$ : 2100–2050 cm<sup>-1</sup>). This holds especially if spectra of KSCN@AlO(OH) were recorded with an increased amount of sample to guarantee for sufficient intensity (10x indicates 10 mg of KSCN@AlO(OH) per 400 mg KBr).

In addition to FT-IR spectra, the presence of KSCN is validated by means of classical qualitative analysis, namely by addition of aqueous  $Fe(NO_3)_3$  [76]. Thus, the characteristic reddish color of  $Fe(SCN)_3$  is clearly visible (Figure 15). The reaction was quantified by optical spectroscopy. UV-VIS spectra of KSCN@AlO(OH) prior and subsequent to the reaction with  $Fe(NO_3)_3$  as well as  $Fe(SCN)_3$  as a reference, confirm the formation of  $Fe(SCN)_3$ . When comparing the product of the reaction and the reference, the spectra differ to some extent. However, it should be considered first that the reference is not a nanomaterial, and second that the product of the reaction consists of a mixture of reddish  $Fe(SCN)_3$  and colorless AlO(OH). Finally, the course of reaction was followed by recording the time-dependend increase of the  $Fe(SCN)_3$  absorption (Figure 15). While the reaction in water – upon addition of  $Fe^{3+}$  and  $SCN^-$  – only takes some seconds, a duration of more than 60 min is observed here. Considering the required diffusion of reactants through the sphere wall, such a finding is in accordance to the expectation.



**Figure 14.** FT-IR spectra of KSCN@AlO(OH) with the vibration of [SCN]<sup>-</sup> indicated by arrows (spectra obtained with 1 mg and 10 mg (10x) of sample per 400 mg of KBr; spectra of nonfilled AlO(OH) hollow spheres and pure KSCN as references; modified reproduction from [24]).



**Figure 15.** Photos of KSCN@AlO(OH) before and after reaction with  $Fe(NO_3)_3$  and time-dependent increase of  $Fe(SCN)_3$  absorption (at 470 nm) during the reaction (modified reproduction from [24]).

As a second example of container-type functionalities, the encapsulation of  $K_2S_2O_8$  in AlO(OH) hollow spheres ( $K_2S_2O_8$ @AlO(OH) is shown. In concrete, 100 mg  $K_2S_2O_8$  were encapsulated in about 120 mg of AlO(OH) hollow spheres.  $K_2S_2O_8$  as a strong oxidizing agent was again dissolved inside the aqueous micelle prior to establishing and closing the sphere wall (cf. 2.4). After separation and washing of the hollow spheres, the prescence of  $K_2S_2O_8$  was qualitatively proven upon addition of Mn(Ac)<sub>2</sub> and few drops of concentrated H<sub>2</sub>SO<sub>4</sub> [76]. By this measure, first of all, the sphere wall was dissolved. Thereafter, Mn<sup>2+</sup> is oxidized by  $[S_2O_8]^{2-}$  to form  $[MnO_4]^-$ . The latter can be easily recognized by its deep reddish-violet color (Figure 16). As a reference similar reaction was performed with pure  $K_2S_2O_8$ . Finally, the presence of  $K_2S_2O_8$  is evidenced by FT-IR spectra that clearly show the most intense vibrations of  $[S_2O_8]^{2-}$  in  $K_2S_2O_8$ @AlO(OH) (Figure 16).



**Figure 16.** Photos of  $K_2S_2O_8$ @AlO(OH) before and after reaction with MnAc<sub>2</sub> (similar reaction with pure  $K_2S_2O_8$  as a reference) and FT-IR spectra of  $K_2S_2O_8$ @AlO(OH) (with nonfilled AlO(OH) hollow spheres and pure  $K_2S_2O_8$  as references).

For our last example on the encapsulation of inorganic salts, we pick up the formerly discussed KF-loaded La(OH)<sub>3</sub> hollow spheres (KF@La(OH)<sub>3</sub>) (cf. 2.5) [56]. KF (or KX with X: halogenide) was here used to increase the polarity of the aqueous micelle. For La(OH)<sub>3</sub> only this measure allows for preparing hollow spheres instead of massive nanoparticles. The presence of KF was here confirmed, on the one hand, by thermal decomposition of as-prepared KF@La(OH)<sub>3</sub>. Surprisingly, thermogravimetry (TG) proceeds with a massive and continuous weight-loss (~20 wt-%) at 200–600 °C with LaOF as the TG-remnant. This finding is in opposite to the decomposition of bulk-La(OH)<sub>3</sub> (with La<sub>2</sub>O<sub>3</sub> as the product of thermal decomposition) and proves the presence of molar amounts of the fluoride. Note that molar amounts of aqueous KF (0.1–0.2 M) have been provided inside the micellar system prior to the formation of La(OH)<sub>3</sub> as the sphere wall (cf. 2.5). Encapsulation and presence of KF in KF@La(OH)<sub>3</sub> can be further validated by dissolving La(OH)<sub>3</sub>. Thus, upon depositing some KF@La(OH)<sub>3</sub> on a watch glass and subsequently adding of a few droplets of concentrated hydrochloric acid, etching of the glass surface due to HF formation is observed (Figure 17).



**Figure 17.** Watch glass prior and subsequent to etching with KF@La(OH)<sub>3</sub> (reproduction from [56]).

# 4.2. Encapsulation of biomolecules and bioactive molecules

Following the concept to encapsulate inorganic salts inside nanoscale hollow spheres, biomolecules or bioactive molecules can be encapsulated similarly via the microemulsion approach (cf. 2.5, 4.1). To this concern, phenylalanine, guercetine and nicotinic acid were selected due to their biomedical relevance. We first describe the encapsulation of the aminoacid phenylalanine (Phe) [77]. As described before, Phe was dissolved inside the aqueous micelles prior to establishing AlO(OH) hollow spheres [24]. Its presence was again confirmed based on FT-IR spectra while comparing nonfilled AlO(OH) hollow spheres, Phe-filled spheres (Phe@AlO(OH)) and pure Phe as a reference (Figure 17). The concentration of Phe inside the sphere is 100 mg per about 120 mg of AlO(OH). As discussed for KSCN the relevant vibrational bands are low in intensity. Only by increasing the amout of sample for FT-IR spectroscopy (cf. 4.1), Phe-related vibrations (v(C=O)): 1700–1400 cm<sup>-1</sup>, fingerprint area: 800–500 cm<sup>-1</sup>) become visible amongst the broad bands of the AlO(OH) hollow spheres. Furthermore, the presence of Phe is qualitatively validated by means of the classical ninhydrine reaction [77]. Accordingly the characteristic deep blue color resulting from the aminoacid-driven ninhydrine dimerisation becomes obvious (Figure 18). Note that a release of Phe out of the hollow spheres is only observed at elevated temperatures (>60 °C). When stirring Phe@AlO(OH) at room temperature, the ninhydrine reaction performed afterwards failed.



**Figure 18.** Photos of Phe@AlO(OH) before and after reaction with ninhydrine and FT-IR spectra of Phe@AlO(OH) (vibrations of Phe indicated by arrows; nonfilled AlO(OH) hollow spheres and pure phenylalanine as references; modified reproduction from [24]).

Similar to phenylalanine, the flavonol and anti-tumor agent quercetine (Que) as well as nicotinic acid (Nic) – known as niacin or vitamin B3 – were encapsulated in AlO(OH) hollow spheres (cf. 2.5) [77]. In concrete, 100 mg of quercetine and 50 mg of nicotinic acid were encapsulated in about 120 mg of AlO(OH) hollow spheres. The successful encapsulation is again evidenced spectroscopically. Thus, UV-Vis- and FT-IR spectra of quercetine-filled hollow spheres (Que@AlO(OH)) are compared in Figure 19 to nonfilled AlO(OH) hollow spheres and pure quercetine as references. Figure 20 similarly shows FT-IR spectra of niacin-filled hollow spheres (Nic@AlO(OH)) as compared to nonfilled AlO(OH) hollow spheres and pure nicotinic acid. FT-IR spectra indicate the most intense vibrations of quercetine and nicotinic acid also to be present after encapsulation in AlO(OH) (Figures 19 and 20). The relevant vibrations are weak in intensity due to the overall low amount of Que and Nic. Since AlO(OH) shows strong absorption by itself, Que and Nic can be detected best by direct comparison to FT-IR spectra of nonfilled hollow spheres. In addition, quercetine can be easily recognized by its bright orange color that is even visible with the naked eye in Que@AlO(OH). UV-Vis spectra quantify this color and show the expected characteristic absorption between 300 and 550 nm (Figure 19).



**Figure 19.** UV-Vis and FT-IR spectra of Que@AlO(OH) (vibrations of quercetine indicated by arrows; nonfilled AlO(OH) hollow spheres and pure quercetine as references).



**Figure 20.** FT-IR spectra of Nic@AlO(OH) (vibrations of nicotinic acid indicated by arrows; nonfilled AlO(OH) hollow spheres and nicotinic acid as references).

# 4.3. Encapsulation of fluorescent dyes

Finally we discuss the encapsulation of fluorescent dyes in nanoscale hollow spheres. The intense emission of these dyes can be used for their straightforward detection even in very low concentrations. Accordingly, fluoresent dyes may serve as useful test objects to study mechanistic aspects of encapsulation and release. As a first example, rhodamine (R6G) was encapsulated inside AlO(OH) hollow spheres (R6G@AlO(OH)). Its intense fluorescence thereafter was recorded by fluorescence spectroscopy [55]. In detail, the hollow spheres were filled with an adueous  $10^{-7}$  M solution of R6G. During centrifugation and washing R6G@AlO(OH) was controlled by photoluminescence for non-controlled release of R6G (Figure 21). Thus, R6G remaining in the supernatant was only detected subsequent to the very first centrifugation. This finding is ascribed to minor amounts of R6G remaining outside of the hollow sphere during sphere wall formation. To remove all constituents of the micellar system, as-prepared hollow spheres were carefully purified and washed, namely, by resuspending/centrifugation three times in/from isopropyl alcohol, followed by resuspending/centrifugation three times in/from water. These sequential steps of resuspension and centrifugation of R6G@AlO(OH) on a time scale up to 60 min did not show any additional release of R6G to the supernatant. Finally, R6G@AlO(OH) was resuspended in water. This final suspension shows intense photoluminescence, which evidences R6G still to be present inside the AlO(OH) hollow spheres (Figure 21).

Controlled release of R6G from R6G@AlO(OH) was initiated by HCl-driven dissolution of the sphere wall. To this concern, concentrated hydrochloric acid (32 %) was added with a microliter injection syringe in portions of 10  $\mu$ L to 4 ml of an aqueous suspension containing 8 mg of R6G@AlO(OH) hollow spheres. The course of the reaction was followed by photoluminescence upon 480 nm excitation (Figure 22a). Obviously, an increase in photoluminescence is observed up to an addition of about 30  $\mu$ L HCl. This finding is in accordance to a certain absorption and reflection of the exciting as well as the emitted light due to the intact sphere wall. With proceeding dissolution of AlO(OH), R6G diffuses out of the now leaking hollow spheres and absorbs all incoming light. In sum, an increasing photoluminescence is observed then. Dynamic light scattering indeed proves a complete dissolution of the hollow spheres subsequent to an addition of about 60  $\mu$ L of HCl [55]. During the acid-driven R6G-release, the pH-level of the suspension ranges from 8.2 (virgin AlO(OH) suspension) to 5.8 (release of R6G completed) and is then further decreased with proceeding formation of the acidic complex [Al(H<sub>2</sub>O)<sub>6</sub>]<sup>3+</sup>.



**Figure 21.** Proving the fluorescence of R6G@AlO(OH) subsequent to the destabilization of the micellar system and advanced washing procedures performed by centrifugation/resuspension from/in isopropanol as well as from/in water ( $\lambda_{\text{excitation}} = 480 \text{ nm}$ ).

For further validation of the R6G-release, the integrated photoluminescence intensity of R6G@AlO(OH) (1) is compared to two references (Figure 22b): (3) an aqueous solution of R6G  $(10^{-7} \text{ M})$ ; (2) nonfilled AlO(OH) hollow spheres (2 mg/mL), which were suspended in aqueous R6G solution  $(10^{-7} \text{ M})$ . The photoluminescence intensity in each case was calculated by mathematical fitting of the peak area of the relevant emission spectra (shown for (1) only). In contrast to R6G@AlO(OH) (Figure 22a), the emission for (2) and (3) decreases linearly with the addition of HCl. This is due to well-kown acidic quenching of R6G photoluminescence [78]. With R6G released out of the AlO(OH) sphere, quenching was also found for (1). When adding more than 60  $\mu$ L of HCl, the photoluminescence of (1) is finally in parallel to (2) and (3). Both effects – the increase in photoluminescence for (1) and the significantly different behaviour in comparison to (2) and (3) – clearly evidence the container-type functionality of R6G@AlO(OH).



**Figure 22.** Course of the R6G photoluminescence intensity upon addition of hydrochloric acid: (1) R6G@AlO(OH); (2) AlO(OH) suspension with R6G added to the outside of the hollow spheres; (3) solution of R6G (modified reproduction from [55]). Only for (1) the complete sequence of emission spectra is shown; for (2) and (3) only the course of the integrated emission intensities is extracted and shown.



**Figure 23.** FT-IR spectra of FMN@AlO(OH) (vibrations of FMN indicated by arrows; nonfilled AlO(OH) hollow spheres and NaH(FMN) as references) as well as excitation and emission spectra of FMN@AlO(OH) ( $\lambda_{\text{emission}} = 500 \text{ nm}, \lambda_{\text{excitation}} = 350 \text{ nm}$ ).

As a second fluorescent dye, flavinmononucleotide (FMN) – a derivative of vitamin B2 – was encapsulated in AlO(OH) [77]. Here, 50 mg of FMN were encapsulated in about 120 mg of AlO(OH) hollow spheres. The successful encapsulation was again evidenced by spectroscopy. Thus, FT-IR spectra of flavinmononucleotide-filled hollow spheres (FMN@AlO(OH)) are compared in Figure 23 to nonfilled AlO(OH) hollow spheres and NaH(FMN) as references. Accordingly, the broad absorption of AlO(OH) is superpositioned by the strongest vibrations of the fluorescent dye, which indicates the presence of FMN. Moreover, FMN@AlO(OH) exhibits intense emission of green light upon excitation with UV-light or a blue-emitting LED (Figure 23). The resulting excitation and emission spectra are similar to those of the non-encapsulated fluorescent dye [79].

# 5. Perspectives of nanoscale hollow spheres

The microemulsion approach opens up a broad access to nanoscale hollow spheres that are composed of various materials. This includes metals (e.g. Ag, Au) as well as metal oxides (e.g. ZnO, TiO<sub>2</sub>, SnO<sub>2</sub>, AlO(OH), La(OH)<sub>3</sub>) and metal sulfides (e.g. Cu<sub>2</sub>S, CuS). Controlled adjustment of sphere diameters (i.e. 10–60 nm) and fine-tuning of the inner cavity (i.e. 2–30 nm) is possible, too. Profound characterization of hollow spheres requires the use of different and independent analytical tools with electron microscopy in all its variations as the most important one. Besides the variety of materials that come into range, the microemulsion approach is especially beneficial when aiming at container functionalities of hollow spheres. Encasulation of selected inorganic salts (e.g. KSCN,  $K_2S_2O_8$ , KF), biomolecules and bioactive molecules (e.g. phenylalanine, quercetine, nicotinic acid) and fluorescent dyes (e.g. rhodamine, riboflavin) impressively prove this aspect.

Nanoscale hollow spheres - no matter what the actual strategy of preparation might be - as a class of material with a special morphological structure will be of growing interest with regard to properties and application. Some of the most fascinating aspects are displayed in Figure 24. Thus, the large surface of nanoscale hollow spheres may lead to novel materials for catalysis, sensors, high-energy-density batteries as well as materials for gas sorption, gas separation and storage. In comparison to massive nanoparticles the mechanical and thermal stability of hollow structures can be beneficial due to reduced thermal sintering – and thereby a less reduced specific surfaces – at the elevated temperatures (200-500 °C) that are often required for device operation. Additional flexibility may arise from the fact that nanoscale hollow spheres can be modified and coated differently on their inner and outer surface. The combination of high mechanical stability and low specific weight may lead to low-weight building materials. Finally, the inner cavity opens up options to all kinds of container functionalities. To this concern, application in molecular biology and medicine might be most challenging. This includes controlled transport and release of, for instance, tumor agents, analgetics, inflammation retardants or hormones. Hollow spheres may also act as contrast agents for modern imaging techniques such as ultrasound imaging (US) or magnetic resonance tomography (MRT). Despite from biomedical issues, however, container functionalities are also promising for controlled release of anti-oxidants, lubricants or electrolytes.



**Figure 24.** Future perspectives of nanoscale hollow spheres: properties and potential areas of application.

Altogether, many features of nanoscale hollow spheres such as diffusion and mass transport through the sphere wall, mechanical stability, magnetic and conductive properties are yet right at the beginning of fundamental exploration and research. Albeit – a wide variety of materials with precise control of size and composition is required to go for the above properties and applications. The microemulsion approach as presented here will surely be a route to do so.

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